PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77508

Hidekazu YAMAZAKI, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: September 17, 2003 Examiner: Not Yet Assigned

For: CELLULOSE ESTER FILM AND PRODUCING METHOD THEREOF

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: Q77508

An English language abstract, submitted herewith, constitutes a concise statement of relevance for Japan Institute of Invention and Inovation (JHI) Journal of

Technical Disclosure No. 2001-1745.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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23373 CUSTOMER NUMBER

Date: September 17, 2003

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## Substitute for Form 1449 & & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (type as many sheets as necessary) Application Number Not Yet Assigned Confirmation Number Not Yet Assigned Filing Date September 17, 2003 First Named Inventor Hidekazu YAMAZAKI At Unit Not Yet Assigned

(u.	se as many sheets	as necessary)	Art Unit	Not Yet Assigned	
	·		Examiner Name	Not Yet Assigned	
Sheet	1	of 1	Attorney Docket Number	Q77508	

			U.S.	PATENT DOCUM	ENTS
Examiner Initials*	Cite No.1	Document Number			
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
		Japan Institute of Invention and Inovation (JIII) Journal of Technical Disclosure No. 2001-1745, Issued March 15, 2001				
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Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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